



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/035,993

Adam Wagman

20243/25

12/31/2001

CONFIRMATION NO. 3630

FORMALITIES LETTER

BROWN RUDNICK FREED & GESMER One Financial Center 18th Floor **BOX IP**

Boston, MA 02111

Date Mailed: 02/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/24/2002 GGEBREGI 00000037 032357

10035993

FILED UNDER 37 CFR 1.53(b)

01 FC±105

130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
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10/035,993

Please type a plus sign (+) inside this box

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 OLS. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

to a collection of information unless it displays a valid OMB control number. Under the Paper Reduction Act of 1995, no persons are required

TRANSMITTAL **Application Number** Dec 31, 2001 **Filing Date FORM** (to be used for all correspondence after initial filing) Wagman, Adam First Named Inventor 2621 Group Art Unit Not Yet Assigned **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number C01-006 ENCLOSURES (check all that apply) After Allowance Communication Assignment Papers X Fee Transmittal Form to Group (for an Application) Appeal Communication to Board of Drawing(s) Fee Attached Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition Proprietary Information After Final Petition to Convert to a Status Letter Affidavits/declaration(s) **Provisional Application** Other Enclosure(s) (please Power of Attorney, Revocation identify below): Extension of Time Request Change of Correspondence Address **Express Abandonment Request** Declaration and Power of Terminal Disclaimer Attorney Information Disclosure Statement Postcard Request for Refund Certified Copy of Priority CD, Number of CD(s) Document(s) Remarks Response to Missing Parts/ X Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Arthur J. O'Dea - Reg. No. 42,952 Individual name Signature April 10, 2002 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: April 10, 2002 Type or printed name Cheryl Sloane April 10, 2002 Date Signature

Burden Hour Statement: This form is settimeted to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time your are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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EE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 130.00

Complete if Known					
Application Number	10/035,993				
Filing Date	12/31/2001				
First Named Inventor	Wagman, et al.				
Examiner Name	Not Yet Assigned				
Group Art Unit	2621				
Attorney Docket No.	C01-006				

METHOD OF PAYMENT	FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3.	ADDIT Large Entity	IONAI	FEE: Small Entity	S		
Deposit Account Number	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit Account Name	105	130	205	65	Surcharge – late filing fee or oath	130.00	
X Charge Any Additional Fee Required Under 37 CFR 1 16 and 1.17		50	227	25	Surcharge – late provisional filing fee or cover sheet		
Applicant claims small entity status. See 37 CFR 1 27		130	139	130	Non-English specification		
2. Payment Enclosed:	147	2,520	147	2,520	For filing a request for ex parte reexamination		
Check Credit Card Money Order Other	112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month		
Large Entity Small Entity	116	390	216	195	Extension for reply within second month		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117	890	217	445	Extension for reply within third month		
101 710 201 355 Utility filing fee	118	1,390	218	695	Extension for reply within fourth month		
106 320 206 160 Design filing fee	128	1,890	228	945	Extension for reply within fifth month		
107 490 207 245 Plant filing fee	119	310	219	155	Notice of Appeal		
108 710 208 355 Reissue filing fee	120	310	220	155	Filing a brief in support of an appeal		
114 150 214 75 Provisional filing fee	121	270	221	135	Request for oral hearing		
	138	1,510	138	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$)		110	240	55	Petition to revive – unavoidable		
2. EXTRA CLAIM FEES	141	1,240	241	620	Petition to revive - unintentional		
Fee from Extra Claims below Fee Paid	142	1,240	242	620	Utility issue fee (or reissue)		
Total Claims -20**= X =	143	440	243	220	Design issue fee		
Independent - 3**= X =	144	600	244	300	Plant issue fee		
Multiple = = Dependent	122	130	122	130	Petitions to the Commissioner		
	123	50	123	50	Processing fee under 37 CFR 1.17(q)		
Large Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	126	180	126	180	Submission of Information Disclosure Stmt		
103 18 203 9 Claims in excess of 20	581	40	581	40	Recording each patent assignment per property (times number of properties)		
102 80 202 40 Independent claims in excess of 3	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
104 270 204 135 Multiple dependent claim, if not paid	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		
109 80 209 40 **Reissue Independent claims over original patent		710	279	355	Request for Continued Examination (RCE)		
110 18 210 9 **Reissue claims in excess of 20 and over original patent	169	900	169	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$) Other fee (specify)							
** or number previously paid, if greater, For Reissues, see above	1	ed by Bas		ee Paid	SUBTOTAL (3) (\$) 130	100	
		, _u			(-) (ψ) 100	.00	

SUBMITTED BY	Complete (if applicable)				
Name (Print/Type)	Arthur J. O'Dea	Registration No. (Attorney/Agent)	42,952	Telephone	(508) 650-3108
Signature	11)/000			Date	4/10/2002

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